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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/871,341	05/31/2001	Tim K. Keyes	RD-28408

CONFIRMATION NO. 9578

FORMALITIES LETTER



OC000000006371638

John S. Beulick
Armstrong Teasdale LLP
Suite 2600
One Metropolitan Sq.
St. Louis, MO 63102

Date Mailed: 08/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

09/13/2001 BNGUYEN1 00000049 012384 09871341

01 FC:105 130.00 CH

Adjustment dates: 11/13/2001 SSALEEKU A copy of this notice **MUST** be returned with the reply.
10/04/2001 HNDOR1 00000110 012384 09871341
01 FC:105 130. CR

A.T

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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JH

PATENT
RD-28408

THE UNITED STATES OFFICE OF PATENTS AND TRADEMARKS

Applicant: Keyes et al.

Serial Number: 09/871,341

Filed: May 31, 2001

For: METHODS AND SYSTEMS FOR
PORTFOLIO CASH FLOW
VALUATION

CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231, on September 6, 2001


Bruce T. Atkins, Reg. No. 43,476

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

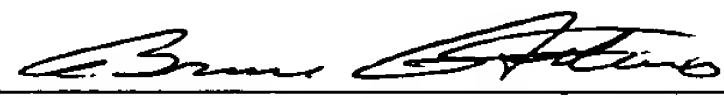
Attention: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, mailed August 1, 2001, enclosed is the Combined Declaration and Power of Attorney, together with Part 2 of the Notice.

The Commissioner is hereby authorized to charge the surcharge of \$130.00 to Deposit Account Number 01-2384.

Respectfully submitted,


Bruce T. Atkins, Reg. No. 43,476
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